



# FMCSA Unified Registration System (URS)

FMCSA National Training Center Webinar  
General Introduction and Overview  
Webinar Briefing

October 2013





# Introduction

- What is the Unified Registration System (URS)?
- Why did FMCSA undertake the URS rulemaking?
- Who is required to comply with this rule?
  - Motor Carriers
  - Intermodal Equipment Providers
  - Hazardous Materials Safety Permit Applicants/holders
  - Brokers
  - Freight Forwarders
  - Cargo Tank Facilities





# URS Benefits

- What are the benefits of the new rule?
  - Increased efficiency
  - Raising the bar for safety
  - Simplified processes
  - A single online registration system
  - Improved accountability
  - Reduced paperwork
  - Maintain accurate information on regulated entities





# URS Timeline

- When was the rule published?
- When does this rule go into effect?
- Why are there two effective dates?





# URS Timeline, cont.

November 1, 2013

- New and enhanced enforcement penalties for failing to complete Biennial Update requirement  
49 CFR 390.19(b)(2) and (4)
- Prohibition of Interstate transportation with an Inactive USDOT Number or no USDOT Number  
49 CFR 392.9b

October 23, 2015

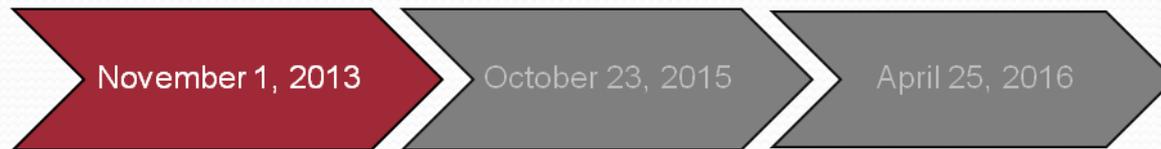
- Electronic filing only by use of online MCSA-1 form
- All entities must update their information within 30 days of change
- Insurance Filing for exempt for-hire and private HM
- All new applicants are subject to the registration fee

April 25, 2016

- Process Agent filing for Private and Exempt For-Hire Carriers



# November 1, 2013



- Prohibition on operating in interstate commerce for MCs, HMSPs, and IEPs that:
  - Fail to complete their biennial update requirements
  - Fail to register for USDOT number
  - Operate without an active USDOT number
- Enhanced penalties for failure to comply with the items listed above
- Notifications/Warning letters sent to entities that require a USDOT Number registration renewal prior to the entities' respective due date
- Failure to update will result in deactivation of the USDOT number





# October 23, 2015

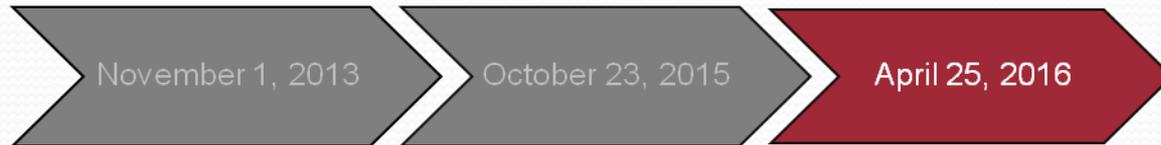


- URS operational
  - All entities must update their information within 30 days of change
  - All entities must file electronically using the new MCSA-1 Form
  - Exempt For-Hire and Private HazMat (HM) Carriers must now file proof of insurance (in addition to all non-exempt For-Hire Carriers, Brokers, and Freight Forwarders)
  - All new applicants are subject to the registration fee (including Private and Exempt For-Hire Carriers)





# April 25, 2016



- Process agent filing required for Private and Exempt For-Hire Carriers
  - A process agent represents the FMCSA-regulated entity—for example, a specific motor carrier company—who is served with legal documents for court or Agency processes
  - Entities need to have a process agent in every state they do business
- A list of current blanket agents that can represent the entity in all 50 states can be found on the FMCSA Registration and Licensing page: <http://www.fmcsa.dot.gov/registration-licensing/licensing/agents.htm>
- Entities are not required to use agents listed on the site





# Knowledge Check

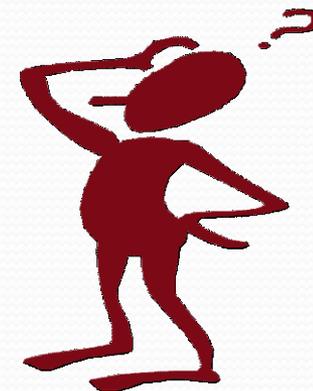
Practice and apply what you have learned





# Knowledge Check Question

1. According to the URS Timeline, what is the effective date that a motor carrier could be cited for operating with an inactive USDOT number?
  - A. **November 1, 2013**
  - B. **January 1, 2014**
  - C. **October 23, 2015**
  - D. **April 25, 2016**





# Answer

1. According to the URS Timeline, what is the effective date that a motor carrier could be cited for operating with an inactive USDOT number?
  - A. **November 1, 2013**





# The Biennial Update Requirement





# What is the Biennial Update?

- The Biennial Update is the first stage of implementation of the Unified Registration System (URS)
- It is not a new requirement for MCs, HMSPs, and IEPs to update information every 24 months
- Entities can update online via FMCSA home page or their portal account
- On October 23, 2015, this will be a new requirement for:
  - Cargo tank facilities
  - Freight forwarders
  - Brokers





# How does the entity complete the biennial update?

- Online:
  - FMCSA Home page
  - Portal Account
- Mail: The motor carrier can send the updated MCS-150, 150B and 150C forms to:

Federal Motor Carrier Safety Administration  
Office of Registration and Safety Information (MC-RS)  
1200 New Jersey Avenue, S.E.  
Licensing Team, 6th Floor  
Washington, D.C. 20590
- Fax: 703-280-4003
- Note: Biennial updates are processed immediately if done online, but updates filed by fax or mail may take three to four weeks to process.





# MCS-150 Form

- To obtain an application for a USDOT Number (all MCS-150 forms) entities can go to:
  - <http://www.fmcsa.dot.gov>
  - Click the Registration & Licensing tab to access the form

U.S. Department of Transportation  
Federal Motor Carrier Safety Administration

### Motor Carrier Identification Report (Application for USDOT Number)

REASON FOR FILING (Mark only one)  
 NEW APPLICATION     BIENNIAL UPDATE OR CHANGES     OUT OF BUSINESS NOTIFICATION     REAPPLICATION (AFTER REVOCATION OF NEW ENTRANT)

1. NAME OF MOTOR CARRIER    2. TRADE OR D.B.A. (DOING BUSINESS AS) NAME

3. PRINCIPAL ADDRESS    4. CITY    5. STATE/PROVINCE    6. ZIP CODE+4    7. COLONIA (MEXICO ONLY)

8. MAILING ADDRESS    9. CITY    10. STATE/PROVINCE    11. ZIP CODE+4    12. COLONIA (MEXICO ONLY)

13. PRINCIPAL BUSINESS PHONE NUMBER    14. PRINCIPAL CONTACT CELL PHONE NUMBER    15. PRINCIPAL BUSINESS FAX NUMBER

16. USDOT NO.    17. MC OR MX NO.    18. DUN & BRADSTREET NO.    19. IRS/TAX ID NO.    EIN    SSN

20. INTERNET E-MAIL ADDRESS    21. CARRIER MILEAGE (to nearest 10,000 miles for last calendar year)    YEAR

22. COMPANY OPERATION (Mark all that apply)  
 Interstate Carrier     Intrastate Hazardous Carrier     Intrastate Non-Hazardous Carrier     Interstate Hazardous Shipper     Intrastate Hazardous Shipper

23. OPERATION CLASSIFICATION (Mark all that apply)  
 Authorized For Hire     Private Passengers (Business)     U.S. Mail     Local Government  
 Exempt For Hire     Private Passengers (Non-Business)     Federal Government     Indian Tribe  
 Private Property     Migrant     State Government     Other

24. CARGO CLASSIFICATIONS (Mark all that apply)  
 GENERAL FREIGHT     BUILDING MATERIALS     PASSENGERS     GARBAGE, REFUSE, TRASH     PAPER PRODUCT  
 HOUSEHOLD GOODS     MOBILE HOMES     OIL FIELD EQUIPMENT     U.S. MAIL     UTILITY  
 METAL SHEETS, COILS, ROLLS     MACHINERY, LARGE OBJECTS     LIVESTOCK     CHEMICALS     HARMFUL SUBSTANCES  
 MOTOR VEHICLES     FRESH PRODUCE     GRAIN, FEED, HAY     COMMODITIES DRY BULK     CONSTRUCTION  
 DRIVE AWAY/TOWAWAY     LIQUIDS/GASES     COAL/COKE     REFRIGERATED FOOD     WATERWELL  
 LOGS, POLES, BEAMS, LUMBER     INTERMODAL CONT.     MEAT     BEVERAGES     OTHER

25. HAZARDOUS MATERIALS (CARRIER OR SHIPPER) (Mark all that apply)    (C) CARRIER    (S) SHIPPER    (B) BULK - IN CARGO TANKS    (NB) NON-BULK - IN PACKAGES

	C	S	B	NB		C	S	B	NB		C	S	B	NB
A. DIV 1.1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	K. DIV 2.20 (Ammunition)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	VA. DIV 4.2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
B. DIV 1.2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	L. DIV 2.2A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	VB. DIV 4.3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
C. DIV 1.3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	M. DIV 2.2B	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	WC. DIV 5.1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
D. DIV 1.4	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	N. DIV 2.2C	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	XD. DIV 6.2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
E. DIV 1.5	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	O. DIV 2.2D	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	YE. DIV 6.3	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
F. DIV 1.6	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	P. Class 2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Z. DIV 6.1A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
G. DIV 2.1 (Flam. Gas)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Q. Class 3A	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	AA. DIV 6.1B	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
H. DIV 2.1 LPG	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	R. Class 3B	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	BB. DIV 6.1 PORCN	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
I. DIV 2.1 Methanol	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	S. COMB LIQ	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	CC. DIV 6.1 SOLID	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
J. DIV 2.2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	T. DIV 4.1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	DD. CLASS 7	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

26. NUMBER OF VEHICLES THAT WILL BE OPERATED BY THE U.S.:

	Straight Trucks	Truck Tractors	Trailers	Hazard Cargo Tank Trucks	Hazard Cargo Tank Trailers	Motor-coach	Number of vehicles carrying number of passengers (including the driver)										
							School Bus	Mini-Bus	Passenger Van	Limousine	1-8	9-15	16+	1-8	9-15	16+	
OWNED																	
TERM LEASED																	
TRIP LEASED																	

27. DRIVER INFORMATION

Within 100 Mile Radius	INTERSTATE	INTRASTATE	TOTAL DRIVERS	TOTAL CDL DRIVERS	
					1-8

Beyond 100 Mile Radius

28. IS YOUR USDOT NUMBER REGISTRATION CURRENTLY REVOKED BY THE FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION?  Yes  No  
 If Yes, enter your USDOT Number: \_\_\_\_\_

29. PLEASE ENTER NAME(S) OF SOLE PROPRIETORS, OFFICERS OR PARTNERS AND TITLES (e.g. president, treasurer, general partner, limited partner)  
 1. \_\_\_\_\_ 2. \_\_\_\_\_

30. CERTIFICATION STATEMENT (to be completed by authorized official)  
 I, \_\_\_\_\_ certify that I am familiar with the Federal Motor Carrier Safety Regulations and/or Federal Hazardous Materials Regulations. Under penalties of perjury, I declare that the information entered on this report is, to the best of my knowledge and belief, true, correct, and complete.  
 Signature \_\_\_\_\_ Date \_\_\_\_\_ Title \_\_\_\_\_ (Please print)

Form MCS-150 (Revised: 6/12/2007)





# Who does the Biennial Update apply to today and in 2015?

- Today
  - Motor carriers
  - IEPs
  - HMSPs
- October 23, 2015
  - Motor carriers, IEPs, HMSPs
  - Brokers
  - Freight Forwarders
  - Cargo Tank Facilities





# Biennial Update Table

Filing schedule: Each motor carrier or IEP must file the appropriate form before beginning operations, and then every 24 months, according to the following schedule.

If the USDOT number ends in:	Must file by last day of:
1	January
2	February
3	March
4	April
5	May
6	June
7	July
8	August
9	September
0	October

- If the next-to-last digit of its USDOT Number is **odd**, the motor carrier or intermodal equipment provider shall file its update in every odd-numbered calendar year.
- If the next-to-last digit of its USDOT Number is **even**, the motor carrier or intermodal equipment provider shall file its update in every even-numbered calendar year.





## What will happen if information is not current?

- Beginning November 1, 2013 (the compliance date of the revised biennial update provision), the Agency will issue a warning letter at least 30 days in advance of a biennial update deadline to notify the entity that its USDOT Number will be deactivated if it fails to comply with the biennial update requirement
- The letter will contain instructions on how to complete the biennial update
- Entities that fail to complete their biennial update according to the schedule in 390.19(b)(2) will have their USDOT number deactivated
- FMCSA will only deactivate USDOT numbers for entities that fail to update has failed to meet the existing biennial update requirement after November 1, 2013





## What will happen if information is not current? (cont.)

- If a Motor Carrier fails to update, the carrier's USDOT number will be deactivated one month after the filing deadline
- Motor Carriers will be subject to citations 49 CFR 392.9b if inspected at roadside and operating with a deactivated USDOT Number
- During a compliance investigation, a motor carrier, HMSP and/or IEP that fails to update will also be cited for failing to complete its biennial update





# URS and Intrastate Operations

- URS does not affect States issuing USDOT numbers to intrastate carriers
- It is a State's decision regarding fees, if any, for issuing USDOT numbers to intrastate carriers
- When deployed, the URS will support States' ability to issue USDOT numbers to intrastate carriers





# URS and PRISM

- The motor carrier is ultimately responsible for ensuring the biennial update requirements are met
- PRISM -- Performance and Registration Information Systems Management
  - The PRISM program ties USDOT registration status to vehicle registration/tags, serving as a powerful means of safety enforcement
    - If a carrier's USDOT number is inactive, or out of service, a PRISM state may deny vehicle registration/tag renewal, or in some states, revoke existing vehicle registrations
  - Motor carriers obtaining or renewing vehicle registration credentials in PRISM states may be required by the state to update their census information if the carrier has not done so within the past year; this update meets the requirements of the biennial update.





# Unified Carrier Registration

- Unified Carrier Registration (UCR) is completely separate and distinct from the Federal URS
- State-based registration system enacted August 10, 2005 replacing the Single State Registration System (SSRS)
- UCR Agreement applies to the following US, Canadian & Mexican Interstate operations:
  - All For Hire Motor Carriers of Property and Passengers
  - Private Motor Carriers of Property
  - Freight Forwarders
  - Brokers
  - Leasing Companies





# Unified Carrier Registration, cont.

- Administered by States via base-state agreements
- UCR Annual filing starts on October 1st
- State revenues from UCR fees must be use for motor carrier safety programs and enforcement only
- For more information on UCR requirements, FAQs, fees, and payment methods go to [www.ucr.in.gov](http://www.ucr.in.gov)





# Knowledge Check

Practice and apply what you have learned





# Knowledge Check Question



2. The motor carrier's USDOT Number is 1234567. Determine when the motor carrier will have to file its next biennial update using the chart below.

- A. July 2014
- B. June 2015
- C. May 2016
- D. April 2015

If the USDOT number ends in:	Must file by last day of:
1	January
2	February
3	March
4	April
5	May
6	June
7	July
8	August
9	September
0	October

- If the **next-to-last digit** of its USDOT Number is **odd**, the motor carrier or intermodal equipment provider shall file its update in every odd-numbered calendar year.
- If the **next-to-last digit** of its USDOT Number is **even**, the motor carrier or intermodal equipment provider shall file its update in every even-numbered calendar year.





# Answer



2. The motor carrier's USDOT Number is 1234567. Determine when the motor carrier will have to file its next biennial update using the chart below.

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# Knowledge Check Question

3. Where would a motor carrier or an entity be referred to in order to obtain a USDOT Number (download one of the MCS-150 forms)?
- A. [www.SAFER.com](http://www.SAFER.com)
  - B. [www.Safety.com](http://www.Safety.com)
  - C. [www.FMCSA.dot.gov](http://www.FMCSA.dot.gov)  
Click the Registration & Licensing tab
  - D. [www.dot.gov](http://www.dot.gov)



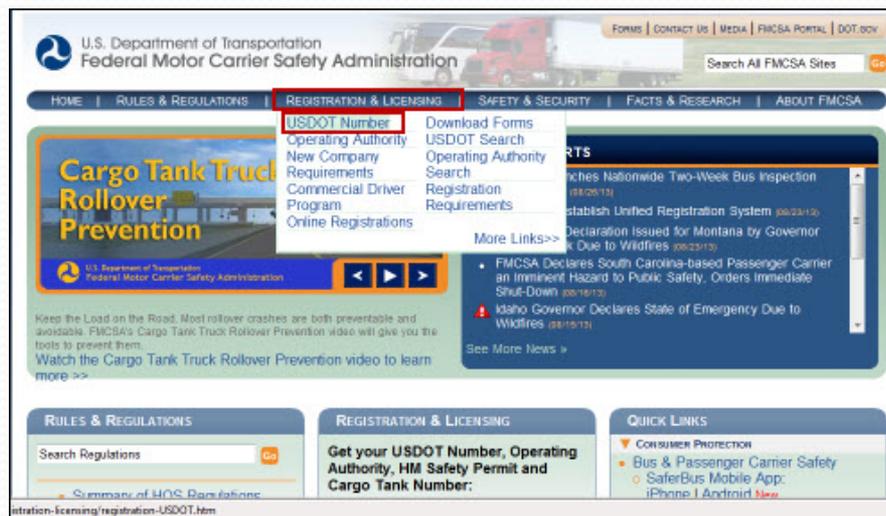


# Answer

3. Where would a motor carrier or an entity be referred to in order to obtain a USDOT Number (download one of the MCS-150 forms)?

C. **www.FMCSA.dot.gov**

**Click the Registration & Licensing tab**





# Resources





# How Entities Can Obtain a PIN

- Biennial update warning letters will include a PIN
- Entities can obtain a PIN by calling 800-832-5660
- Hours of Operation:  
M–F 8:00 am – 8:00 pm Eastern Time



**Important Note:** Paper forms will no longer be accepted by FMCSA beginning October 23, 2015





# Online Updates

- Carriers can view and update their information online using the FMCSA PORTAL at: <https://portal.fmcsa.dot.gov/login>

**Login to Access the FMCSA Portal**

User ID:

Password:

[Forgot your Password?](#) [Forgot your User ID?](#) [Unlock your Account?](#)

*To register for a portal account, please click [here](#).*

*To access portal training, please click [here](#).*





# Summary

- August 23, 2013: URS Regulation published
- November 1, 2013:
  - New and enhanced enforcement penalties for failing to complete the Biennial Update requirement
  - Prohibition of interstate transportation with an inactive USDOT number or no USDOT number
- October 23, 2015:
  - URS is operational
  - Electronic applications only—paper forms no longer accepted
  - All applicants will be subject to a \$300 USDOT number registration fee per application

